

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPARTMENT  
11400 BURNET ROAD  
AUSTIN, TEXAS 78758  
FAX # 512-823-1036

DATE: 01/23/02Number of Pages to Follow (including cover sheet) 44

## SEND TO:

United States Patent Office

Examiner: Angela ArmstrongGroup Art Unit: 2641Tel No: 703-308-6258Fax #: 703 872-9315

## FROM:

Leslie VanheeuwenTel No: (512) 823-6746Fax #: (512) 823-1036

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No. A19-98-343 Serial No. 09/13,856 Atty: Leslie Vanheeuwen  
Applicant: S.A. Morgan et al

<input checked="" type="checkbox"/> Transmittal Letter (2 copies)	<input type="checkbox"/> Certificate of Facsimile
<input type="checkbox"/> Amendment	<input type="checkbox"/> Notice of Appeal
<input checked="" type="checkbox"/> Amendment AF (3 copies)	<input checked="" type="checkbox"/> Appeal Brief (3 copies)
<input type="checkbox"/> Ext. of Time	<input type="checkbox"/> Reply Brief
<input type="checkbox"/> IDS Statement	<input type="checkbox"/> Change of Address
<input type="checkbox"/> Other	

Deposit Acct. No. 512-823-1036 / 09-0447Fees: Amendment ☐ Notice of Appeal ☐ Appeal Brief 370 Other ☐

PATENT  
09/213,856

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Before the Examiner:  
Scott A. Morgan et al. : Angela Armstrong  
Serial No.: 09/213,856 : Group Art Unit: 2641  
Filed: December 17, 1998 : Intellectual Property  
Title: SPEECH COMMAND INPUT : Law Department - 4054  
RECOGNITION SYSTEM FOR : International Business  
INTERACTIVE COMPUTER DISPLAY : Machines Corporation  
WITH INTERPRETATION OF : 11400 Burnet Road  
ANCILLARY RELEVANT SPEECH : Austin, Texas 78758  
QUERY TERMS INTO COMMANDS : Date: January 23, 2002

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence including a Brief on Appeal and an accompanying Amendment is being transmitted via facsimile to USPTO, Group Art Unit 2641 at telephone number 703-872-9315, and to the attention of Examiner A. Armstrong on January 23, 2002.

PATSY SPEARS

Patsy Spears January 23, 2002  
Signature Date

TRANSMITTAL OF APPELLANTS' BRIEF UNDER 37 CFR 1.192(a)

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Attached is Appellants' Brief (in triplicate) accompanied by an Amendment in this Appeal from a decision of the Examiner dated September 13, 2001 finally rejecting Claims 1-3, 5-8, 10-13 and 15, accompanied by an Amendment.

Please charge my Deposit Account No. 09-0447 in the amount of \$320.00.

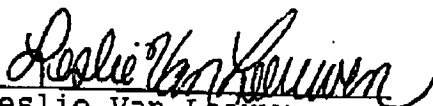
AT9-98-343

1

PATENT  
09/213,856

The Commissioner is hereby authorized to charge any additional fee which may be required or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this document is included.

Respectfully submitted,

By   
Leslie Van Leeuwen  
Registration No. 42,196  
Intellectual Property Law  
IBM Corporation - 4054  
11400 Burnet Road  
Austin, Texas 78758

Docket No: AT9-98-343  
Telephone No:  
(512) 823-6746

AT9-98-343

2